

03-29-00

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	M-4540-1C US
First Named Inventor or Application Identifier	Robert A. Foster
Title	Data Processing System for Complex Pricing and Transactional Analysis
Express Mail Label No.	EL 487 714 281 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form - *see page 2 of this form.*
(Submit an original, and a duplicate for fee processing)

2. Application:

Specification: (preferred arrangement set forth below)
Descriptive title of the Invention,
Cross References to Related Applications,
Reference to Microfiche Appendix,
Background of the Invention,
Brief Summary of the Invention,
Brief Description of the Drawings, and
Detailed Description (all totaling 25 pages)
Appendix(es) 1, 2, & 3 (25 pages)

Claims 8 pages

Abstract of the Disclosure 1 page

3. Drawings (35 USC 113) [Total Sheets 6]

4. Oath or Declaration [Total Pages 2]
a. Newly executed (original or copy)
b. Copy from prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)
c. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.

6. Microfiche Computer Program Appendix
consisting of 4 pages of microfiche containing 320
frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

8. Assignment Papers (cover sheet & documents) 0 pages

9. 37 CFR §3.73(b) Statement Power of Attorney
(combined when there is an Attorney in fact with Patent Declaration
Assignee) above.)

10. English Translation Document (if applicable)

11. Information Disclosure Statement (IDS) & PTO-1449 Copies of IDS
Citations/References

12. Preliminary Amendment 0 pages

13. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

14. Small Entity Status
 Small Entity Statement Enclosed 0 pages
 Statement filed in prior application; and
status still proper and desired
 Is no longer claimed.

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Other:
 Copy of Petition for Extension of Time filed in parent appln.;

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:
 Continuation Divisional of prior application No.08/904,716
Filed on August 1, 1997, entitled: Data Processing System For Complex Pricing And Transactional Analysis

* PRIOR APPLICATION INFORMATION: *Examiner* *Group Art Unit*

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	or <input checked="" type="checkbox"/> Correspondence address below				
Name	Edward C. Kwok				
Attorneys for Applicant	Reg. No. 33,938 SKJERVEN, MORRILL, MacPHERSON, FRANKLIN & FRIEL LLP				
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Please type a plus sign (+) inside this box

=
+

PTO/SB/29 (1/98)

A for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
control number.

19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
40	TOTAL CLAIMS (37 CFR 1.16(c))	-20	= 20	x \$18	= \$ 360.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	= 0	x \$78	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))		+ \$260.00		
			BASIC FEE (37 CFR 1.16(a))		= \$ 690.00
			Total of above Calculations		= \$1,050.00
			Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28),		
			TOTAL		= \$1,050.00

NO FILING FEE AT THIS TIME

21. Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> New correspondence address below		
NAME			
ADDRESS			
CITY			
COUNTRY	STATE	ZIP CODE	FAX
	TELEPHONE		

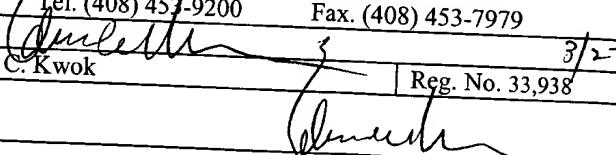
23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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Date:	3/27/2000	
Name	Edward C. Kwok	Reg. No. 33,938
Signature		
Express Mail Label No.	EL 487 714 281 US	

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